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10/735,962	12/15/2003	Mark Anthony Howard	D/A 3284	3390

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EXAMINER
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RAYYAN, SUSAN F

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PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	Application No. 10/735,962	Applicant(s) HOWARD ET AL.	
	Examiner Susan F. Rayyan	Art Unit 2167	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 15 December 2003.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-20 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 15 December 2003 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

***Continued Examination Under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on May 15, 2007 has been entered.

***Response to Arguments***

2. Applicant argues prior art of record does not teach uploading a shared file from a file sharing user for local storage on a metadata repository when a plurality of requests for a shared file exceed a predetermined threshold. Examiner finds Seed et al (US 2006/0015574 ) teaches at paragraph 44, lines 1-4 an object is replicated into storage when the popularity of the object is greater than the initial threshold . Seed teaches (paragraph41, replicating a file based on the number of requests for an object).

3. Applicant argues Dobbins teaches only a querying user, the user in Dobbins is not sharing files and there is only one bandwidth allocation. Examiner finds Dobbins teaches two bandwidths at paragraph 110, subscribers may purchase higher speed downloads which equates to two levels of bandwidths. Hanson teaches the file sharing (at paragraph 4 as peer-to-peer uploading and downloading).

Art Unit: 2167

4. Applicant argues prior art of record does not teach a sharing class and a searching class. Hanson teaches the sharing class (at paragraph 4 as peer-to-peer uploading and downloading). Dobbins teaches a querying class (paragraph 111, lines 1-8, as subscriber chooses a music selection).

#### **DETAILED ACTION**

5. Claims 1-20 are pending.

#### ***Claim Rejections - 35 USC § 103***

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

**Claims 1,3-7,13-14,19-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent Application Publication Number 2002/0046232 issued to Colin John Adams ("Adams") in view of US Patent Application Publication Number 2004/018487 issued to Eric A. Hanson ("Hanson") and further in view of US 2004/0199604 issued to Kurt A. Dobbins et al ("Dobbins").**

**As per claim 1**, Adams teaches a method for fulfilling a file-sharing query (abstract), comprising:

Art Unit: 2167

providing a user interface (UI) to a querying user, the UI for entering a query to a metadata repository (see paragraph 18-19, Adams' central index server is taught in a manner similar to the claimed metadata repository);  
receiving a query from the querying user via the UI, the shared file satisfying the query based on the stored metadata (paragraph 19);  
and transmitting an identification of the shared file to the querying user (paragraph 20).

Adams does not explicitly teach transmitting a client-side application to a file-sharing user having a shared file, the client-side application for generating metadata corresponding to the shared file and receiving and storing the metadata from the file-sharing user. Hanson does teach transmitting a client-side application to a file-sharing user having a shared file, the client-side application for generating metadata corresponding to the shared file and receiving and storing the metadata from the file-sharing user (paragraph 47, paragraph 65, lines 1-5) to reduce distribution costs, facilitate distribution of content and ensure content owners receive benefits (see summary). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Adams with transmitting a client-side application to a file-sharing user having a shared file, the client-side application for generating metadata corresponding to the shared file and receiving and storing the metadata from the file-sharing user to reduce distribution costs, facilitate distribution of content and ensure content owners receive benefits (see summary).

Adams and Hanson do not explicitly teach bandwidth being allocated to the file-sharing user at a first level and wherein the bandwidth is allocated to the querying user

Art Unit: 2167

at a second level lower than a first level. Dobbins does teach bandwidth being allocated to the file-sharing user at a first level (paragraph 111, lines 1--8, as the subscriber chooses a music selection and interactively selects a download selection) and wherein the bandwidth is allocated to the querying user at a second level lower than a first level (paragraph 111, lines 25-28, provide the transport service of high bandwidth) to enable end users to purchase higher bandwidth upon demand as a means of enhancing time-based value of content . It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Adams and Hanson with bandwidth being allocated to the file-sharing user at a first level and wherein the bandwidth is allocated to the querying user at a second level lower than a first level to enable end users to purchase higher bandwidth upon demand as a means of enhancing time-based value of content (paragraph 62, lines 18-21).

**As per claim 3**, same as claim arguments above and Adams teaches:

The method of claim 1, said client-side application generating the metadata using an abstracting program (paragraph 49).

**As per claim 4**, same as claim arguments above and Adams teaches:

The method of claim 1, said UI comprising a proprietary UI of the metadata repository (paragraph 18-19).

Art Unit: 2167

**As per claim 5**, same as claim arguments above and Adams teaches:

The method of claim 1, said transmitting an identification further comprising:  
transmitting a hyperlink to the querying user in response to the query, wherein a selection of the hyperlink by the querying user initiates a transmission of the shared file from the file-sharing user to the querying user (paragraph 15, lines 21-23).

**As per claim 6**, same as claim arguments above and Adams teaches:

The method of claim 1, further comprising: storing the shared file locally based on a characteristic of the shared file (paragraph 52).

**As per claim 7**, same as claim arguments above and Adams teaches:

The method of claim 6, said transmitting an identification further comprising:  
transmitting a hyperlink to the querying user in response to the query, wherein a selection of the hyperlink by the querying user initiates a transmission of the shared file from the metadata repository to the querying user (paragraph 15, lines 21-23 and paragraph 21-23).

**As per claim 13**, same as claim arguments above and Hanson teaches:

The method of claim 1, further comprising: providing a payment to the file-sharing user for the shared file transmitted to the querying user (paragraph 65).

**As per claim 14**, same as claim arguments above and Hanson teaches:

The method of claim 13, further comprising: receiving a payment from the querying user for the shared file (paragraph 65).

Art Unit: 2167

**As per claim 19** Adams teaches:

receiving a query from a user in the searching class, the metadata satisfying the query (paragraphs 18-19);

and providing an identification of the shared file to the user in the searching class (paragraph 20).

Adams does not explicitly teach establishing at least two classes of users that interact with a metadata repository over a network, the at least two classes including a sharing class that primarily provides data and a searching class that primarily searches for data and receiving metadata identifying a shared file from a user in the sharing class. Hanson does teach establishing at least two classes of users that interact with a metadata repository over a network, the at least two classes including a sharing class that primarily provides data (paragraph 65, selling side) and a searching class that primarily searches for data (paragraph 40, user a); receiving metadata identifying a shared file from a user in the sharing class (paragraphs 47,65) to reduce distribution costs, facilitate distribution of content and ensure content owners receive benefits (see summary). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Adams with establishing at least two classes of users that interact with a metadata repository over a network, the at least two classes including a sharing class that primarily provides data, a searching class that primarily searches for data, and receiving metadata identifying a shared file from a user in the sharing class to reduce distribution costs, facilitate distribution of content and ensure content owners receive benefits (see summary).



Art Unit: 2167

Adams and Hanson do not explicitly teach higher levels of network resources are allocated to the sharing class than to the searching class. Dobbins does teach these limitations (paragraph 111, lines 1--8, as the subscriber chooses a music selection and interactively selects a download selection and paragraph 111, lines 25-28, provide the transport service of high bandwidth) to enable end users to purchase higher bandwidth upon demand as a means of enhancing time-based value of content. It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Adams and Hanson with higher levels of network resources are allocated to the sharing class than to the searching class to enable end users to purchase higher bandwidth upon demand as a means of enhancing time-based value of content (paragraph 62, lines 18-21).

**As per claim 20**, same as claim arguments above and Adams teaches:

The method of claim 19, further comprising: uploading the shared file from the user in the sharing class for distribution to users in the searching class when the shared file satisfies a predetermined condition (paragraphs 21-23) .

**Claims 2,9-11 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent Application Publication Number 2002/0046232 issued to Colin John Adams ("Adams") in view of US Patent Application Publication Number 2004/018487 issued to Eric A. Hanson ("Hanson") and further in view of US Patent Application Publication Number 2002/0143976 issued to Reed A. Barker et al**

Art Unit: 2167

**(“Barker”) and further in view of US 2004/0199604 issued to Kurt A. Dobbins et al (“Dobbins”).**

**As per claim 2**, same as claim arguments above and Adams and Hanson and Dobbins do not explicitly teach periodically receiving and storing updated metadata from the client-side application. Barker does teach periodically receiving and storing updated metadata from the client-side application (paragraph 28) to allow a content provider to manage metadata locally and to maintain control over editing of metadata (paragraph 7, lines 1-3).

**As per claim 9**, same as claim arguments above and Adams and Hanson and Dobbins do not explicitly teach wherein the metadata is transmitted to at least one other metadata repository for storage and the shared file is not transmitted to any other metadata repository for storage.

Barker does teach explicitly teach wherein the metadata is transmitted to at least one other metadata repository for storage and the shared file is not transmitted to any other metadata repository for storage (paragraphs 8,28). It would have been obvious to one of ordinary skill in the art to modify Adams and Hanson with wherein the metadata is transmitted to at least one other metadata repository for storage and the shared file is not transmitted to any other metadata repository for storage to allow a content provider to manage metadata locally and to maintain control over editing of metadata (paragraph 7, lines 1-3).

Art Unit: 2167

**As per claim 10**, same as claim arguments above and Adams and Hanson and Dobbins do not explicitly teach receiving updated metadata for storage and transmitting the updated metadata to at least one other associated metadata repository to facilitate queries directed to the at least one other associated metadata repository.

Barker does teach receiving updated metadata for storage and transmitting the updated metadata to at least one other associated metadata repository to facilitate queries directed to the at least one other associated metadata repository (paragraph 28) to allow a content provider to manage metadata locally and to maintain control over editing of metadata (paragraph 7, lines 1-3). It would have been obvious to one of ordinary skill in the art to modify Adams and Hanson with receiving updated metadata for storage and transmitting the updated metadata to at least one other associated metadata repository to facilitate queries directed to the at least one other associated metadata repository to allow a content provider to manage metadata locally and to maintain control over editing of metadata (paragraph 7, lines 1-3).

**As per claim 11**, same as claim arguments above and Barker teaches:

said transmitting the updated metadata further comprising: transmitting the updated metadata in a parallel, pair wise protocol with the at least one other metadata repository (paragraph 8).

**Claims 8, are rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent Application Publication Number 2002/0046232 issued to Colin John Adams (“Adams”) in view of US Patent Application Publication Number 2004/018487 issued to Eric A. Hanson (“Hanson”) and in view of US 2004/0199604 issued to Kurt A. Dobbins et al (“Dobbins”) and further in view of US Patent Application Publication Number 2006/0015574 issued to Steven L. Seed et al (“Seed”).**

**As per claim 8,** same as claim arguments above and Adams and Hanson and Dobbins do not explicitly teach the characteristic comprising a threshold popularity of the shared file. Seed does teach the characteristic comprising a threshold popularity of the shared file (paragraph 44) to reduce network congestion (paragraph 10, lines 20-21). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Adams and Hanson with the characteristic comprising a threshold popularity of the shared file to reduce network congestion (paragraph 10, lines 20-21).

Art Unit: 2167

**Claims 12 is rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent Application Publication Number 2002/0046232 issued to Colin John Adams (“Adams”) in view of US Patent Application Publication Number 2004/018487 issued to Eric A. Hanson (“Hanson”) and US 2004/0199604 issued to Kurt A. Dobbins et al (“Dobbins”) and further in view US Patent Application Publication 2003/0217152 issued to David J. Kasper II (“Kasper”).**

**As per claim 12,** same as claim arguments above and Adams and Hanson and Dobbins do not explicitly teach periodically receiving updated metadata from a second metadata repository . Kasper does teach periodically receiving updated metadata from a second metadata repository (paragraphs 20-21, 48-50) to update local databases (paragraph 24). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Adams and Hanson with periodically receiving updated metadata from a second metadata repository to update local databases (paragraph 24).

**Claims 15-18 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent Application Publication Number 2002/0046232 issued to Colin John Adams (“Adams”) in view of US Patent Application Publication Number 2004/018487 issued to Eric A. Hanson (“Hanson”) in view of US Patent Application Publication Number 2006/0015574 issued to Steven L. Seed et al (“Seed”) in view of US Patent Application Publication 2003/0217152 issued to David J. Kasper II (“Kasper”) in view of US 2004/0199604 issued to Kurt A. Dobbins et al (“Dobbins”).**

**As per claim 15 Adams teaches:**

A method for facilitating file-sharing queries (see abstract), comprising:  
storing the metadata locally at a first metadata repository to facilitate search queries from querying users received by the first metadata repository (paragraph 20, central index).

Adams does not explicitly teach receiving metadata files from a plurality of file-sharing users, the metadata files corresponding to shared files. Hanson does teach receiving metadata files from a plurality of file-sharing users, the metadata files corresponding to shared files (paragraph 47 and paragraph 65, lines 1-5) to reduce distribution costs, facilitate distribution of content and ensure content owners receive benefits (see summary). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Adams with receiving metadata files from a plurality of file-sharing users, the metadata files corresponding to shared files to reduce

Art Unit: 2167

distribution costs, facilitate distribution of content and ensure content owners receive benefits (see summary).

Adams and Hanson do not explicitly teach uploading a shared file from a file-sharing user for local storage on a metadata repository when a plurality of search requests for the shared file exceed a predetermined threshold and periodically synchronizing stored metadata with a second metadata repository for facilitating queries from querying users received by the first and second metadata repositories. Seed does teach uploading a shared file from a file-sharing user for local storage on a metadata repository when a plurality of search requests for the shared file exceed a predetermined threshold (paragraph 44, lines 1-4 to reduce network congestion (paragraph 10, lines 20-21). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Adams and Hanson with uploading a shared file from a file-sharing user for local storage on a metadata repository when a plurality of search requests for the shared file exceed a predetermined threshold to reduce network congestion (paragraph 10, lines 20-21).

Adams, Hanson and Seed do not explicitly teach periodically synchronizing stored metadata with a second metadata repository for facilitating queries from querying users received by the first and second metadata repositories. Kasper does teach periodically synchronizing stored metadata with a second metadata repository for facilitating queries from querying users received by the first and second metadata repositories (paragraphs 20-21, 48-50) to update local databases from master databases (paragraph 24). It would have been obvious to one of ordinary skill in the art

Art Unit: 2167

at the time of the invention to modify Adams Hanson and Seed with periodically synchronizing stored metadata with a second metadata repository for facilitating queries from querying users received by the first and second metadata repositories ) to update local databases from master databases (paragraph 24).

Adams , Hanson, Seed and Kasper do not explicitly teach bandwidth being allocated to the file-sharing user at a first level and wherein the bandwidth is allocated to the querying user at a second level lower than a first level. Dobbins does teach bandwidth being allocated to the file-sharing user at a first level (paragraph 111, lines 1--8, as the subscriber chooses a music selection and interactively selects a download selection) and wherein the bandwidth is allocated to the querying user at a second level lower than a first level (paragraph 111, lines 25-28, provide the transport service of high bandwidth) to enable end users to purchase higher bandwidth upon demand as a means of enhancing time-based value of content. It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify Adams, Hanson, Seed and Kasper with bandwidth being allocated to the file-sharing user at a first level and wherein the bandwidth is allocated to the querying user at a second level lower than a first level to enable end users to purchase higher bandwidth upon demand as a means of enhancing time-based value of content (paragraph 62, lines 18-21).

**As per claim 16**, same as claim arguments above and Adams teaches:

The method of claim 15, wherein the queries received by the metadata repository are not transmitted to the second metadata repository and queries received by the second



Art Unit: 2167

metadata repository are not transmitted to the first metadata repository (paragraph 19-19).

**As per claim 17**, same as claim arguments above and Hanson teaches:

transmitting a client-side application to a file-sharing user having the shared file, the client-side application for generating metadata corresponding to the shared file(paragraph 47, paragraph 65, lines 1-5).

**As per claim 18**, same as claim arguments above and Adams teaches:

receiving a query from a querying user and transmitting an identification of a shared file satisfying the query to the querying user (paragraphs 19-20).

### **Contact Information**

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Susan F. Rayyan whose telephone number is 571-272-1675. The examiner can normally be reached on M-F, 7:30-4:00.


If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Cottingham can be reached on 571-272-7079. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2167

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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